

HSCN Compliance – Release and Configuration Note (RCN)

1. Purpose

The purpose of this RCN is to inform all users and recipients of HSCN Compliance tools/documentation of any change made to those tools/documents, the purpose of the change, who affected the change and who agreed it.

2. Title of document(s) revised

- (a) The HSCN Obligations Framework – uplifted to version 4.2
- (b) The HSCN Compliance Operating Model – uplifted to version 1.4
- (c) The Obligations Framework Security Addendum – now changed and re-titled the ‘Compliance Addendum’

3. What have we changed and Why?

The Obligations Framework

- (a) We have re-formatted the spreadsheet to make supplier requirements on a stage by stage basis easier to find and understand
- (b) We have added an increased level of specificity to the service management requirements in Column I: *Evidence required for HSCN Compliance*. This has been changed to assist suppliers to construct their service management responses in a more targeted manner
- (c) We have added some amendments to some service management obligations to more clearly articulate our Business Continuity obligations which ties up to the requirements set out in the Compliance Addendum controls Annex (Annex A) – A Business Continuity response is now required as part of stage 1 compliance (this is also detailed in this version of the Compliance Operating Model).

The Compliance Operating Model

- (a) We have updated the stage 2 compliance section (page 13 to 15) with a clearer set of requirements for stage 2 – no material change in requirements but increased clarity
- (b) We have incorporated an obligation for the supplier to respond to a set of Business Continuity requirements, along with a set of evidence to accompany these responses
- (c) We have added clarity to the statements around the 3 tiers of application and the HSCN Minimum Compliance Baseline (depending on a supplier’s CAS-T position) of Accredited, Audited and Asserted – more guidance is now available (pages 19 to 21)
- (d) We have embedded the uplifted versions of the Obligations Framework and the Compliance Addendum.

The Security Addendum – now the Compliance Addendum

- (a) We have added some additional control requirements to the Annex so that it now also incorporates Business Continuity requirements – hence this document now focuses on more than just CAS(T) controls. Therefore the document has been re-titled ‘Compliance Addendum’
- (b) More detail and guidance is now incorporated in to this document covering the HSCN Minimum Compliance Baseline that suppliers must meet at stage 1 compliance.
- (c) We have embedded the uplifted Annex A in to this document.

4. Who has affected the change?

The NHS Digital/Innopsis joint working group – The Compliance Review Group – has affected the changes.

5. Who has agreed the change

- The NHS Digital Compliance Review Group
- The Compliance Board – a joint committee of NHS Digital, Innopsis and Crown Commercial Service PSN members – this is a formal subcommittee of the HSCN Programme Board
- Representatives from Innopsis, representing the HSCN supplier community.

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